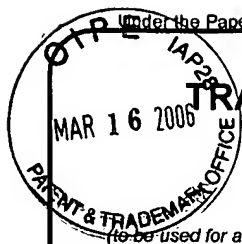


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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/786,658
Filing Date	February 25, 2004
First Named Inventor	James B. Roane
Art Unit	3732
Examiner Name	Jonathan S. Werner
Attorney Docket Number	

Total Number of Pages in This Submission

## ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement (Supplemental)<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Form SB/PTO/SB/08A; copy of one prior art reference; return postcard |
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	McAfee & Taft		
Signature			
Printed name	Clifford C. Dougherty, III		
Date	March 13, 2006	Reg. No.	31,607

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Clifford C. Dougherty III</i>		Date	March 13, 2006
Typed or printed name	Clifford C. Dougherty, III		Date	March 13, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: James B. Roane

Serial No.: 10/786,658

Filed: February 25, 2004

For: ENDODONTIC INSTRUMENT  
SET AND METHOD OF USING  
THE SAME

Art Unit: 3732

Examiner: Jonathan S. Werner

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

Sir:

By this statement, Applicant is submitting information of which he is aware and which he believes may be material to the examination of this application. Each item of information contained in this Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

The filing of this statement should not be considered as a representation that a search has been made (see 37 C.F.R. § 1.97(g)), or an admission that the information cited is considered to be material to patentability as defined in 37 C.F.R. § 1.56 (see 37 C.F.R. § 1.97(h)). Furthermore, the filing of this statement should not be considered as an admission against interest in any manner (see Notice of final rulemaking, 1135 O.G. 13-25, at 25 (2-4-92)).

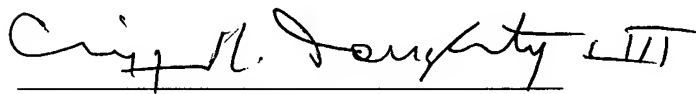
A Form PTO/SB/08A (formerly Form PTO-1449) is included herewith. The following information is listed:

DOCUMENT NO.	PUBLICATION DATE	APPLICANT
US 4,850,867	July 25, 1989	Senia et al.
WO 02/062251 A2	August 15, 2002	Buchanan
US 2003/0017434 A1	January 23, 2003	Hagemann et al.

A copy of WO 02/062251 A2 is enclosed.

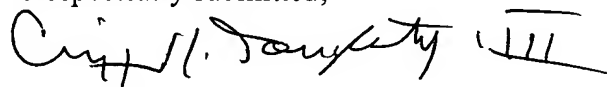
The person making this statement is an attorney of record who signs below on the basis of information which was cited in the European application corresponding to the above U.S. application.

I hereby certify that this correspondence is being deposited in the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

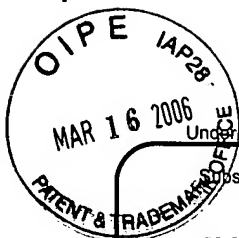
  
Clifford C. Dougherty, III

Date: March 13, 2006

Respectfully submitted,



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/786,658
Filing Date	February 25, 2004
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Art Unit	3732
Examiner Name	Jonathan S. Werner
Attorney Docket Number	

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,850,867	07/25/1989	Senia et al.	Entire document
		US- 2003/0017434 A1	01/23/2003	Hagemann et al.	Entire document
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 02/062251 A2	08/15/2002	Buchanan	Entire document	

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